Connect Share Registries, Direct Connect Document Feed & Data Feeds Console Management in Class

March 2025

Simplify. Automate. Connect.

We will reimagine a more simple, automated world for our customers and they will love it!

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Customer Service Consultant

Presenter: Kritika Basu

Client Relationship Manager

Panellist to answer your questions via webinar Q&A function:

Yvonne Toleafoa (Customer Service Consultant)

The Webinar Slide Deck and Q&A Summary will be forwarded to all the registrants in next few days. The webinar will be available on Class Education Page under recorded webinars: Class Education Page: https://training.class.com.au/webinars/

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Agenda

1. Connect Share Registries (20 mins)
   - Connect share registries setup
   - Balance reconciliation (Information available from the connection)
   - FAQs
2. Direct Connect Document Feed (5 mins)
   - Prerequisites & Statements are stored in the Fund Documents
   - Supported Statement Types & Examples
   - FAQs
3. Data Feeds Console Management (30 mins)
   - Statements are stored in the Fund Documents & Prerequisites
   - Supported Statement Types & Examples
   - Common Q&A

**Words underlined in the slide deck are linked to Class Knowledge base articles for the relevant topic*

CLASS

Accountants

We need the **source documents** from trustees

For example:

1. Macquarie CMA's **Bank statement** from 01/07/2023 till 30/06/2024
2. **HUB24's statements** for FY24 including
   - Investor Statement
   - Tax Statement

If there is a **single holding** that is not part of the broker account.

Then we need the **HIN and postcode** to log in to share registries to verify the holding balance as of 30/06. However, finding the HIN and postcode can sometimes be challenging.

Auditors

We need to verify the **ownership** of assets

We need to verify **Holding balances** of investments

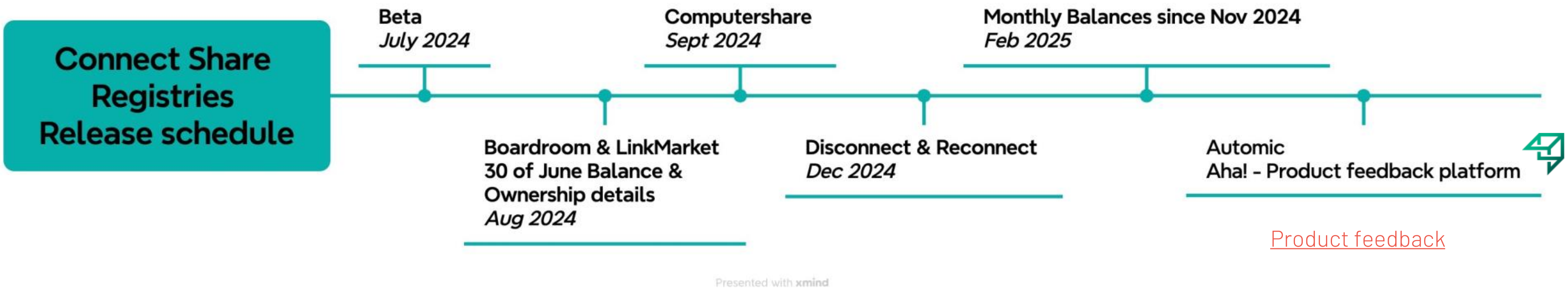
Connect Share Registries

- A solution to save time

01

Connect Share Registries

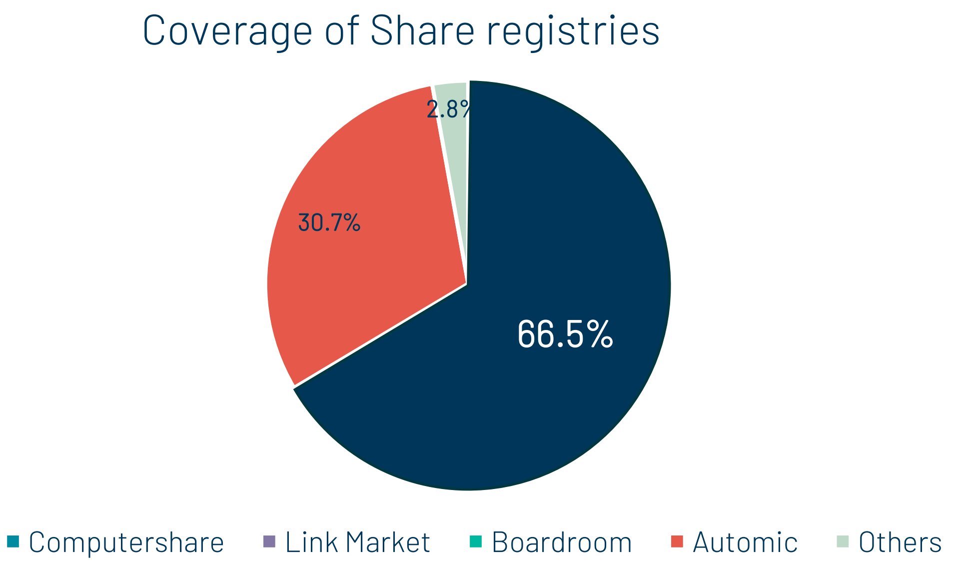
- A solution to save time



[Product feedback]

Coverage of Share registries

Share Registry	ASX Securities	Percentage
Computershare	768	32.6%
Automic	724	30.7%
Link Market	582	24.7%
Boardroom	215	9.1%
Others	67	2.8%
Grand Total	**2356**	**100.0%**



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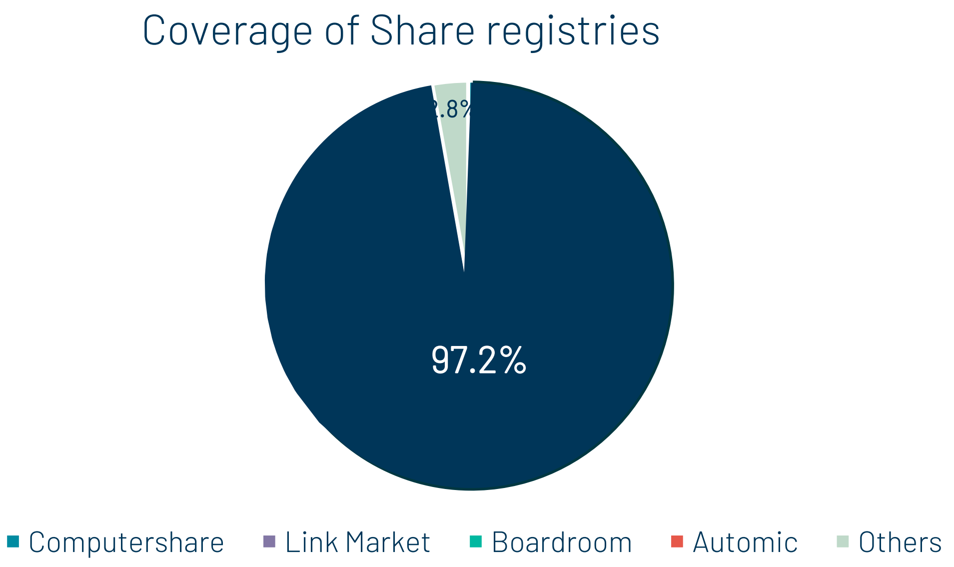


- Registry Feed Co

app.class.com.au/f/ /staging/connect-registries

Class Bookmarks KB L2 T HELP AHA BAU ASX XVPN X

CLASS

Search for Entities and Menu items...

S

Fund Feeds Transactions Members Investments Periodic Processing Reports Settings

Shortcuts

/ Connect share registries

You are unable to view the content on this page until you agree to the Registry Search Consent.

Registry Search Consent

By clicking proceed, you are confirming:

1. You have authority from the account holder to undertake registry searches and access information provided through registry searches;
2. Any information provided by you to undertake registry searches relates to the account holders and is true and correct;
3. You will handle account holder information in accordance with the relevant Australian laws and regulations, including the Privacy Act 1988 (Cth); and
4. If you cease to hold authority from the account holder to undertake registry searches, you must not undertake the registry search and must terminate your access to registry information.

If you do not hold the authority to undertake registry searches you should not proceed.

- [x] I have read and agree to the Registry Search Consent.

Proceed

New_DEMO_SMSF_2023 - Fund

app.class.com.au/f/demo/New_DEMO_SMSF_2023/fund-dashboard/dashboard

Guest

CLASS

Search for Entities and Menu items...

Brown Family Superannu...
#New_DEMO_SMSF_2023

Fund | Feeds | Transactions | Members | Investments | Periodic Processing | Reports | Settings

Shortcuts

Brown Family SF / Fund Dashboard

Details

SMSF	Brown Family Superannuation Fund 2023
Code	New_DEMO_SMSF_2023
TFN	Recorded

Structure

Latest Trust Deed	17 Dec 2003
Trustee Structure	Corporate - 2 Directors
Corporate Trustee	Radley Pty Limited
	009 437 852
	Mrs Jennifer Brown Mr Oliver Brown

Relationships

Demo Auditor	Auditor
Jane Smith	Tax Agent (default from Business)

Members

Name	TFN	Age	Pensions	Beneficiary
Mr Oliver Brown	Yes	69	2	Missing
Mrs Jennifer Brown	Yes	62	0	Missing

Contributions

	Concessional	Non-Concessional	Bring Forward
Mr Oliver Brown			
Maximum Caps	$30,000.00	$120,000.00	Available
Contributions	$0.00	$0.00	
Available/(Excess)	$30,000.00	$120,000.00	
Mrs Jennifer Brown			
Maximum Caps	$30,000.00	$120,000.00	Available
Contributions	$0.00	$0.00	
Available/(Excess)	$30,000.00	$120,000.00	

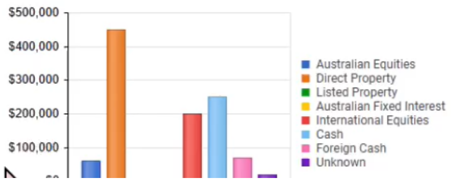
Pensions

	Drawdowns	Minimum	Status
Mr Oliver Brown			
ABP2007	$0.00	$25,700.00	Under Min
ABP2008	$0.00	$7,430.00	Under Min
Gross Drawdowns	$0.00	$33,130.00	
PAYG Tax	$0.00	$0.00	
Net Cash Drawdowns	**$0.00**	$33,130.00	

Financial Position

Financial Year: 2024-25	**As At 5 Mar 2025**
Assets	$2,309,704.50
Liabilities	$617,361.00
Net Assets	$1,692,343.50
Member Entitlement Accounts	$1,639,217.41
Unallocated Member Entitlements	$53,126.09

Asset Allocation



Asset Strategy

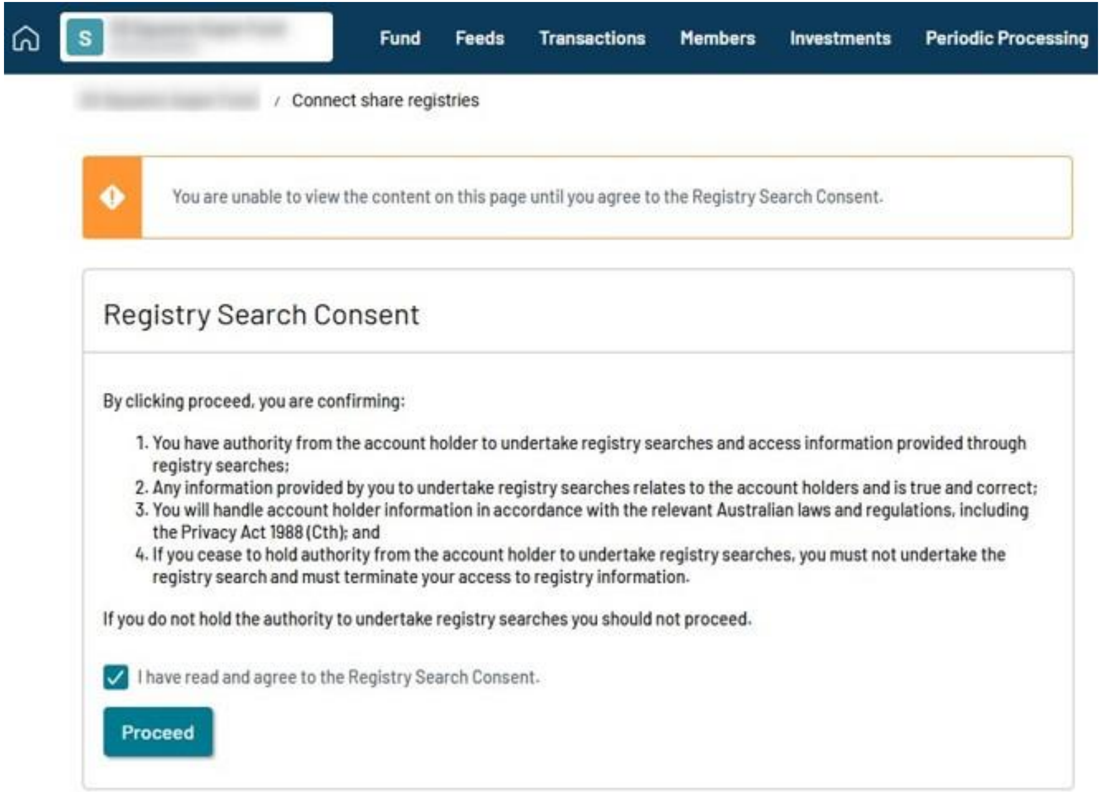
This fund does not have an active asset strategy

Add New Asset Strategy

Connect Share Registries Demo

via **Fund level** > Feeds > Connect share registries

If you're using this function for the first time, a consent page will appear



- Business-level Read & Write access can grant consent for this
- Once consent is given for one fund, it applies to all funds under the same business

Connect Share Registries

via **Fund level** > Feeds > Connect share registries

Postcode 2000 Apply to all

Balance reconciliation Save

Broker (CHESS) sponsored

Legend: A - Automic | B - Boardroom | C - Computershare | L - Link Market Services

Display name	Full account name	Product	Account number	Connection status A	B	C	L	Postcode	HIN (Holder Identification Number)	Actions
Registry Feeds D...	Registry Feeds Demo Broking	Demo Broking Acco...	123456789					Postcode	HIN HIN 1	Connect
Demo Broking Ac...	Demo Broking Account	Demo Broking Acco...	987654321	—		✓	✓	5211	****** HIN 2	Disconnect

*One HIN for one broker account
Different HIN for each broker account

Issuer sponsored

Connect selected

	Holding description	Holding code	Share registry	Connection status	Postcode	SRN (Securityholder Reference Number)	Actions
☐	Argo Investments Limited	ARG	Boardroom	✓	2076	***** SRN 1	Disconnect
☐	Byron Energy Limited	BYE	Boardroom	✓	2069	****** SRN 2	Disconnect
☐	E&P Financial Group Limited	EP1	Boardroom	✓	3036	****** SRN 3	Disconnect

*Different SRN for each investment

Balance reconciliation

- What information can we obtain from the Connect Share Registries function?

Unit movements, Parcel history & Corporate actions

via Fund level > **Feeds** > Connect share registries

/ Balance Reconciliation

As At Date: 30/06/2024

Holding Account

Security Issue

Fund Holding Reference

Include Balanced Accounts: (•) Yes ( ) No

Include Accounts With No Balance Records: (•) Yes ( ) No

Search

Export

1 / 2, 20 per page, 30 items

Holding Account Name	Fund Holding Reference	Market Type	Ledger Balance (1)	Feed Balance (2)	Difference Between Ledger & Feed	Share Registry Balance (3)	Difference Between Ledger & Share Registry
360 Capital Group - Fully Paid Ordinary/Units Stapled Securities	Registry Feeds Demo Broking - 123456789	Listed Securities Market	10,000			10,000	0

Fund | Feeds | Transactions | Members | **Investments** | Periodic Processing | Reports | Settings | Shortcuts

Super Fund / Balance Reconciliation / ANZ Group Holdings Limited (ANZ) - Historical Information

Ownership Details

via Fund level > **Investments** > Browse holding accounts

Date Range: 30/06/2024 to 30/06/2024

Include Income Announcements: ( ) Yes (•) No

Search | Clear Holding Balances

On an **end-of-month basis** since Nov 24

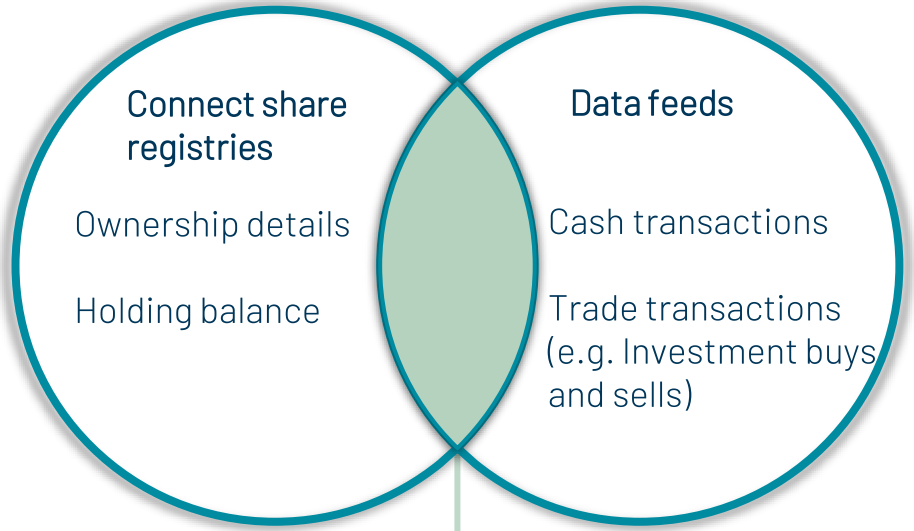
	Ledger Data (1)		Feed Data (2)						Share Registry Data (3)	
Date	Quantity	Balance	Quantity	Balance	Difference	Type	Subtype	Description	Quantity	Balance
30 Jun 2024		0.00000		N/A		Balance	Opening Balance	Opening Balance		
30 Jun 2024		0.00000		N/A		Balance	Closing Balance	Closing Balance		2,300.00000

Connect Share Registries vs. Data feeds (broker/ platform) – 2 Separate features that Complement each other

- Can I have Activated Data Feeds Without Using the Connect Share Registries Feature?
- Can I Use the Connect Share Registries function WITHOUT an Activated Data Feeds?
- Is the Connect Share Registries Feature useful With Activated Data Feeds?

Review purpose

- Separate the Fund's investments from the personal or business investments of its members.
- If active data feeds are available, and discrepancies arise between the ledger data and feed data (e.g., unbalanced), refer to the share registry data for further investigation.
- If there are no active data feeds, the share registry data can be used as a substitute for analysis.



Transaction processing purpose

- Use Automatch function to match transactions in one click
- Use Generate income function to speed up processing

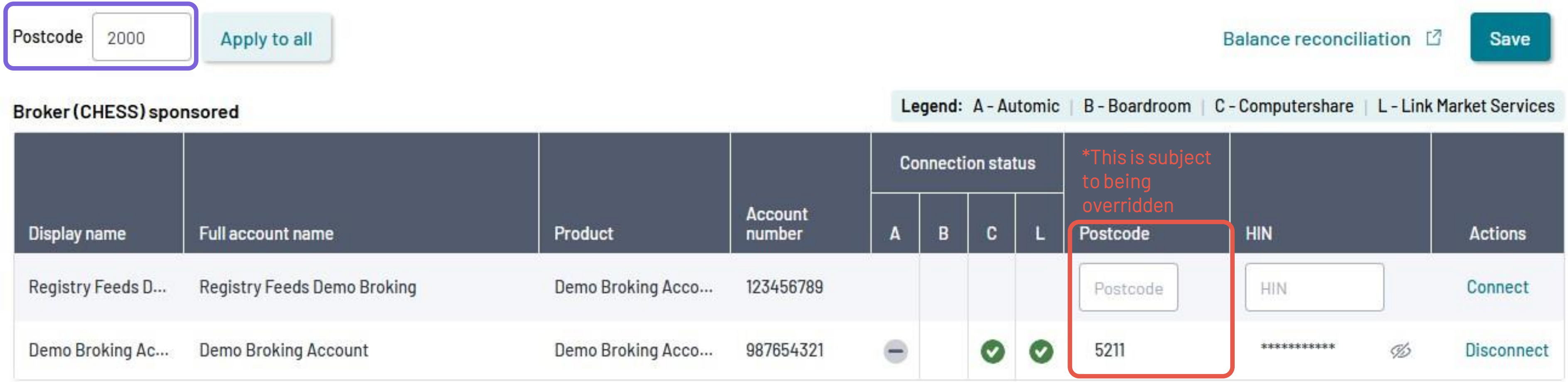
Holding Accounts Feeds > Feeds console > Click into the broker/ platform account

Linked	Market Type	Code	Description	Current Holding Reference
☐	Bank	MBL.BACCT	Macquarie CMA	[illegible]
☐	Bank - Foreign	USD.BACCT	USD Account	[illegible]
☐	Foreign Investment Assets	AAPL	Apple Inc.	[illegible]
☐	Foreign Investment Assets	FB	Facebook Inc	[illegible]
☐	Foreign Investment Assets	GOOGL	Google Inc	[illegible]
☐	Foreign Investment Assets	SNAP	Snap Inc	[illegible]
☐	Listed Securities Market	A200	Betashares Australia 200 ETF	[illegible]
☐	Listed Securities Market	ARG	Argo Investments Limited	[illegible]
☑	Listed Securities Market	ASX	ASX Limited	[illegible]

FAQs

- Where does the default postcode come from? via Fund > Fund details > Contact Details > Mailing Address

  ⓘ The default postcode is extracted **once in Class and remains unchanged thereafter**. Any modifications to the fund details will not update the postcode, nor will changes to the postcode update the fund details.

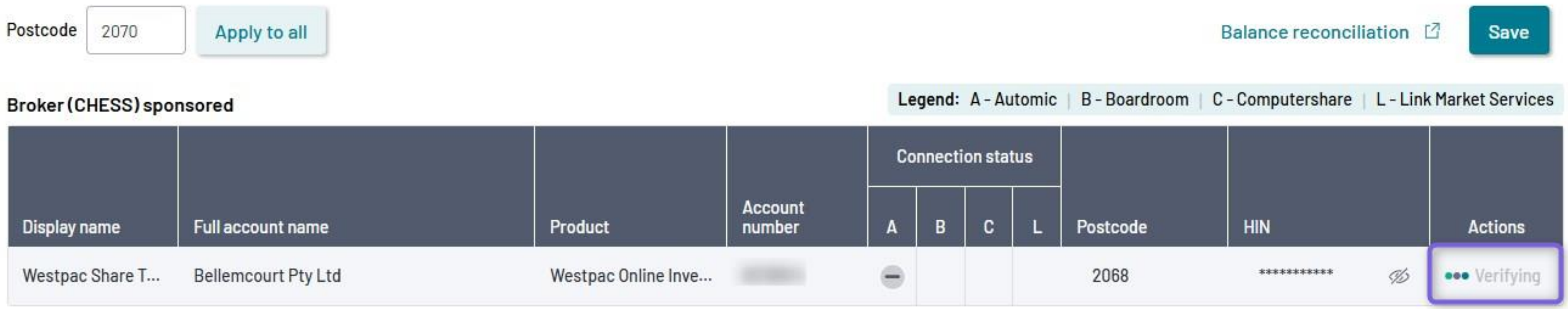


- What types of investments are supported?

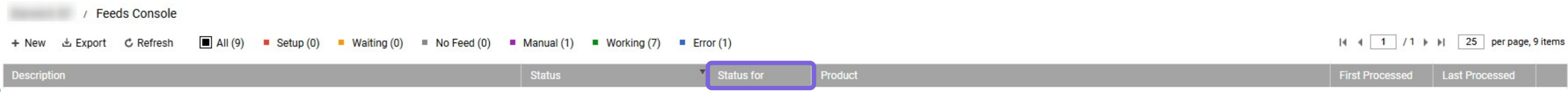
Investment types	CHESS sponsored	Issuer sponsored
ASX listed shares	✓	✓
ASX listed ETFs	✓	✗

FAQs

- What should I do to **prevent** the Actions column from displaying "**Verifying**"?



Review the Feeds console before connecting: via Fund level > Feeds > Feeds console > Status for

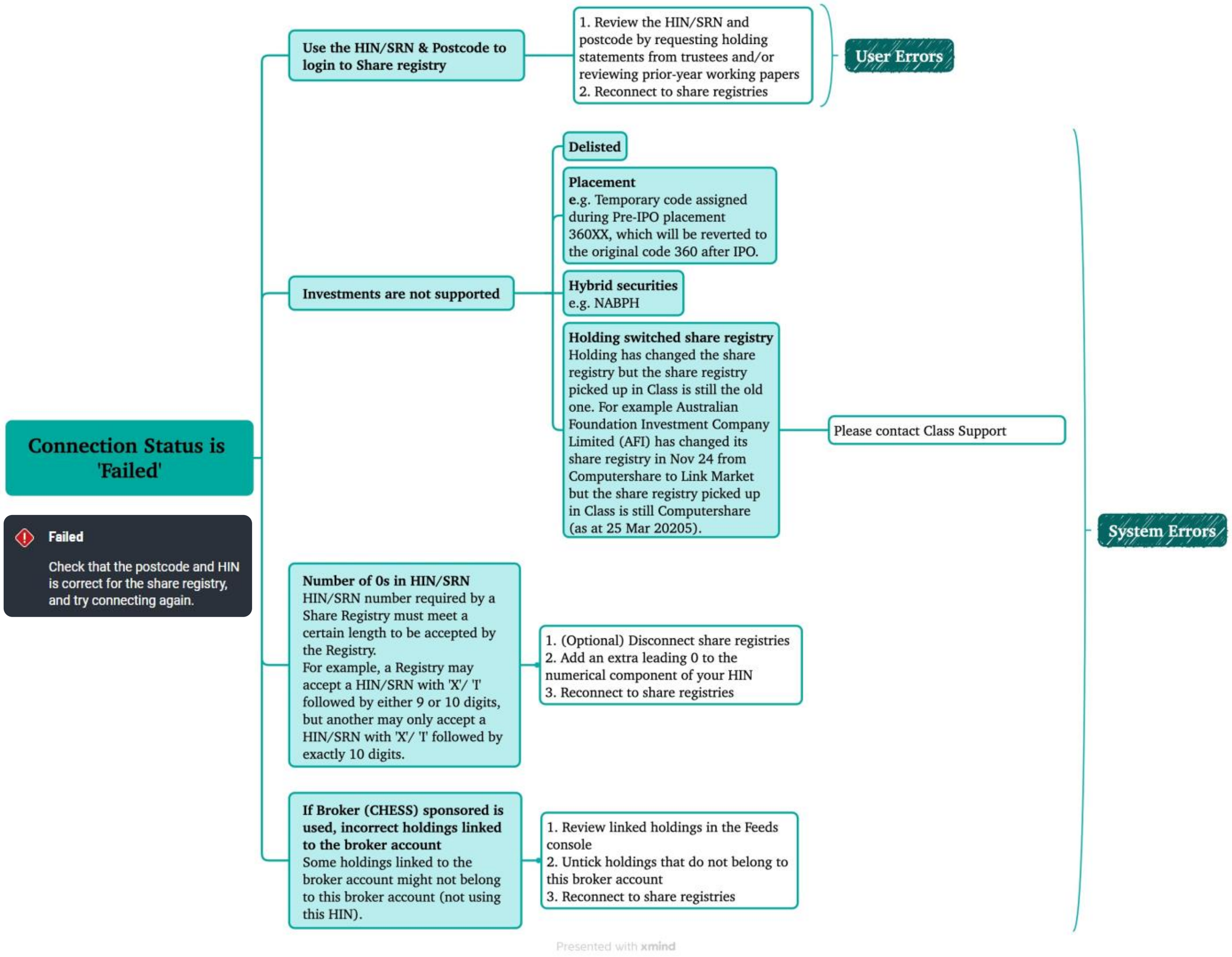


Feed Not Supported

Account Closed

If it is already 'Verifying', contact Class Support

FAQs


Connection Status is 'Failed'
Failed
Check that the postcode and HIN is correct for the share registry, and try connecting again.
Use the HIN/SRN & Postcode to login to Share registry
1. Review the HIN/SRN and postcode by requesting holding statements from trustees and/or reviewing prior-year working papers
2. Reconnect to share registries
User Errors
Investments are not supported
Delisted
Placement
e.g. Temporary code assigned during Pre-IPO placement 360XX, which will be reverted to the original code 360 after IPO.
Hybrid securities
e.g. NABPH
Holding switched share registry
Holding has changed the share registry but the share registry picked up in Class is still the old one. For example Australian Foundation Investment Company Limited (AFI) has changed its share registry in Nov 24 from Computershare to Link Market but the share registry picked up in Class is still Computershare (as at 25 Mar 20205).
Please contact Class Support
System Errors
Number of 0s in HIN/SRN
HIN/SRN number required by a Share Registry must meet a certain length to be accepted by the Registry.
For example, a Registry may accept a HIN/SRN with 'X'/ 'I' followed by either 9 or 10 digits, but another may only accept a HIN/SRN with 'X'/ 'I' followed by exactly 10 digits.
1. (Optional) Disconnect share registries
2. Add an extra leading 0 to the numerical component of your HIN
3. Reconnect to share registries
If Broker (CHESS) sponsored is used, incorrect holdings linked to the broker account
Some holdings linked to the broker account might not belong to this broker account (not using this HIN).
1. Review linked holdings in the Feeds console
2. Untick holdings that do not belong to this broker account
3. Reconnect to share registries
Presented with xmind

Direct Connect Document Feed

02

What **additional benefits** does the Direct Connect Document Feed provide beyond the data feed?

Think for a sec...

- Data feeds sometimes are not supported, e.g., Tax statements
- Data feed sometimes are insufficient, e.g., explanatory notes in reports detailing fees charged
- Preferred by auditors for better transparency and verification

Statements are stored in the Fund Documents

via Fund level > Fund > Fund documents

Prerequisites for Loading Statements

- The entity (SMSF/Trust/Portfolio) must be active with a valid system date
- An active feed must be established by:
  - July 2024 for Macquarie
  - November 2024 for HUB24
- The feed must be active at the time of loading documents

/ Fund Documents

Document Date From [ ] to [ ] Category [ ] Tag [ ] Show Untagged Only

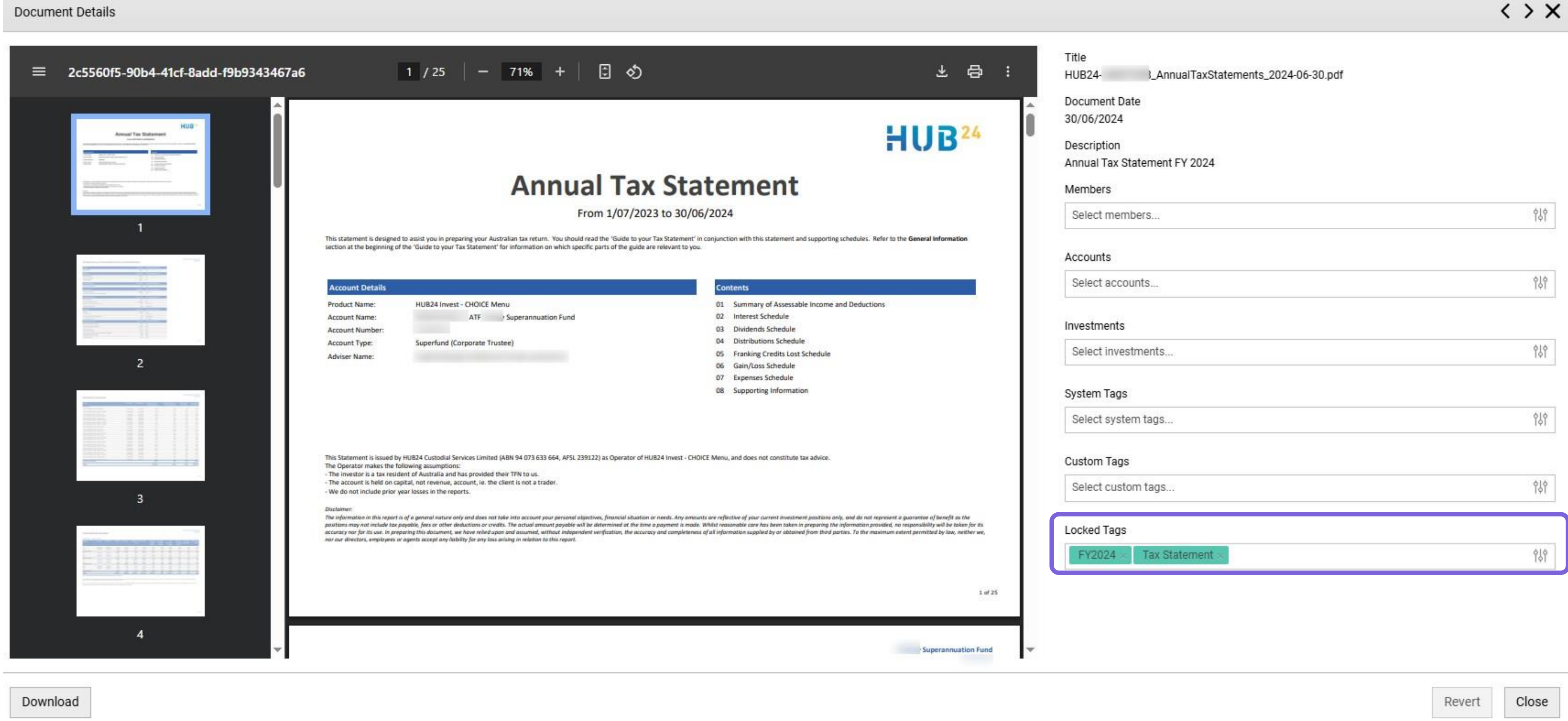
1 / 1 20 per page, 16 items

Type	Document Name	Document Date	Created By	Created Time
PDF	MCQ-CMA-_CashAccountStatement_2024-06-30.pdf Bank FY2024	30/06/2024	Macquarie	16/09/2024 10:32:02
PDF	MCQ-CMA-_CashAccountStatement_2023-12-31.pdf Bank FY2024	31/12/2023	Macquarie	16/09/2024 07:05:51
PDF	HUB24-_ValuationBySecurity_2024-09-30.pdf FY2024 Investment Summary Report	30/09/2024	HUB24	26/11/2024 11:33:30
PDF	HUB24-_ReviewStatements_2024-09-30.pdf FY2024 Investment Portfolio Report	30/09/2024	HUB24	26/11/2024 10:57:54
PDF	HUB24-_ReviewStatements_2024-06-30.pdf FY2024 Investment Portfolio Report	30/06/2024	HUB24	26/11/2024 10:44:38
PDF	HUB24-_ReviewStatements_2024-03-31.pdf FY2024 Investment Portfolio Report	31/03/2024	HUB24	26/11/2024 10:37:43
PDF	HUB24-_AnnualStatementsOrReports_2024-06-30.pdf FY2024 Investment Portfolio Report	30/06/2024	HUB24	26/11/2024 09:38:51
PDF	HUB24-_AnnualTaxStatements_2024-06-30.pdf FY2024 Tax Statement	30/06/2024	HUB24	26/11/2024 09:21:21

View/Edit
Download
Delete

Statements are stored in the Fund Documents

via Fund level > Fund > Fund documents



Supported Statement Types & Examples

Supported Documents		Not supported
Macquarie	CMA 'Account Holder' authority	Online Trading
		Wrap
		'Adviser' authority
HUB24	Investor Statement	
	Tax Statement	
	Review Report	
	Valuation By Security	

Your Annual Investor Statement for 2023/2024

We are pleased to enclose your Annual Investor Statement for the period 1 July 2023 to 30 June 2024.

Your Annual Investor Statement includes the following information:

1. Key Account Details
2. Valuation Summary
3. Asset Allocation Summary
4. Income and Expenses
5. Cash Transactions Report
6. Investment Transactions Report
7. Fees and Costs Summary

Please note the following:

- The information contained in your Annual Investor Statement should not be used to prepare your annual tax return. Your tax information will be provided to you in your Annual Tax Statement.
- We also provide you with Quarterly Reports. These can be obtained via InvestorHUB under: *Account menu > Reports > eStatements*. Your adviser can also provide you with any Quarterly Reports upon request.

If you have any questions, please contact your adviser or our Client Services team on 1300 854 994.

HUB24

Review Report

From 01/07/2024 to 30/09/2024

Account Name: [illegible]
Adviser Name: [illegible]

Account Registration Details	
Key Details	
Account Name	[illegible]
Product Type	[illegible]
Product Name	[illegible]
Account Type	[illegible]
Account ID	[illegible]
Creation Date	[illegible]
Contains Borrowed Money	[illegible]
Contact Details	
Contact Person	[illegible]
Mailing Address	[illegible]
Contact E-mail	[illegible]
Contact Number	
Adviser Details	
Adviser Name	[illegible]
Tax assumptions (Note 1)	
Marginal Tax Rate	[illegible]
CGT allocation method	[illegible]
Insurance Details	
Investor has no insurance cover	

HUB24

Annual Tax Statement

From 1/07/2023 to 30/06/2024

This statement is designed to assist you in preparing your Australian tax return. You should read the 'Guide to your Tax Statement' in conjunction with this statement and supporting schedules. Refer to the **General Information** section at the beginning of the 'Guide to your Tax Statement' for information on which specific parts of the guide are relevant to you.

Account Details	
Product Name:	HUB24 Invest - CHOICE Menu
Account Name:	[illegible]
Account Number:	[illegible]
Account Type:	Superfund (Corporate Trustee)
Adviser Name:	[illegible]

Contents

Valuation by Security

HUB24

As at 30/09/2024

Account Name [illegible]
Adviser Name: [illegible]

Code	Description	Quantity	Market Value ($)
AAA	BETASHARESCASHETF ETF UNITS	2,242.000000	$112,705.34
AAPL.NAS	Apple Inc	228.000000	$76,843.87

FAQs

- **How often** are statements loaded into the entity (SMSF/Trust/Portfolio)?
  Macquarie: Semi-annual basis
  HUB24: Quarterly basis

- How can I request statements to be loaded **again**? ✖
  This is a one-time process. Please contact the trustees or financial advisers directly for the documents.

- Are **historical documents** available?
  Historical documents for HUB24 are available, provided the data feed was active as of 15 May 2024.

2 Takeaways so far...

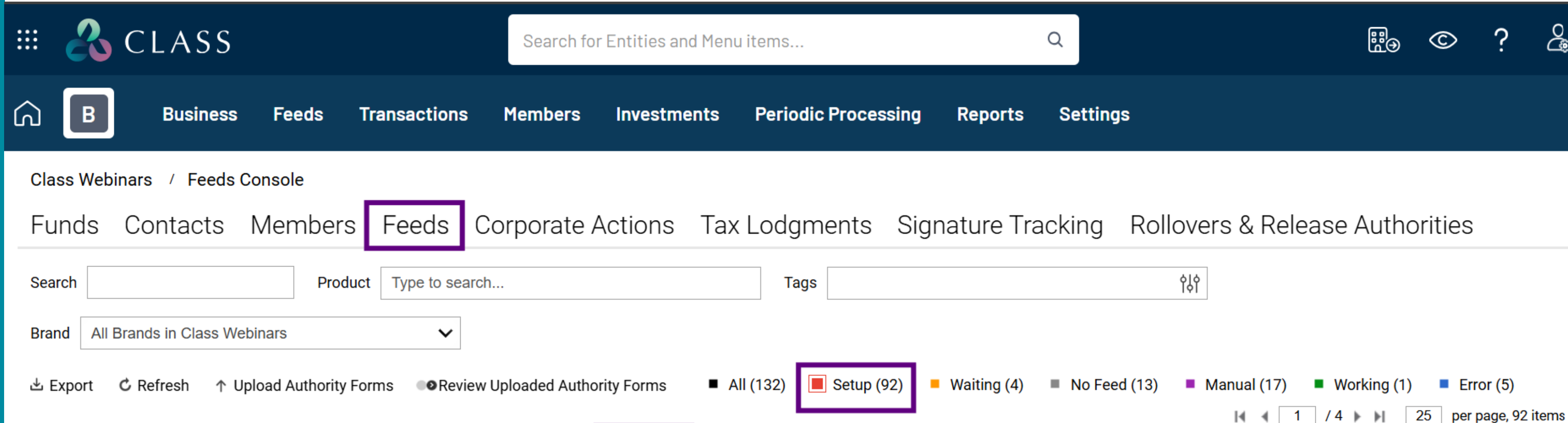
Try the Connect Share Registries function

Data feed quality is key

Data Feeds Console Management

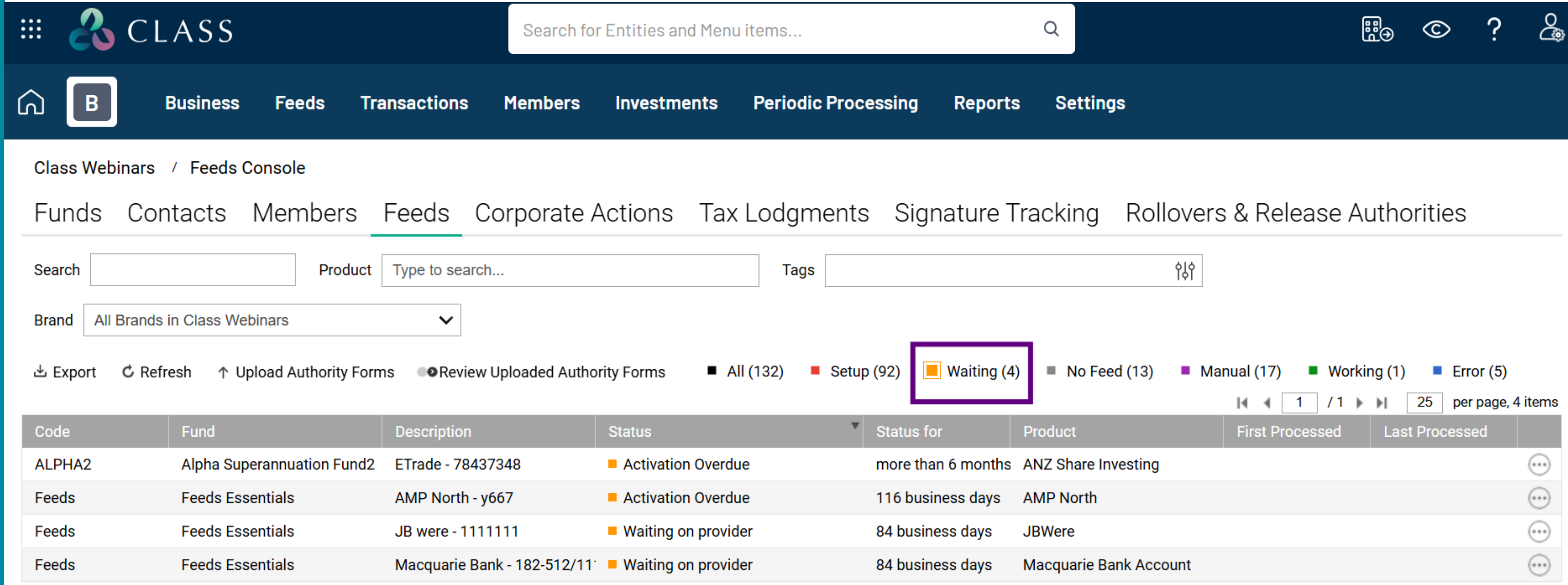
03

Data Feeds Console via Business > Feeds Console > Setup



Code	Fund	Description	Status	Status for	Product	First Processed	Last Processed
KKBKBASIC	KKBK Super Fund	Commonwealth Bank - 062-12	Rejected by provider		Commonwealth Bank of Austr		
ASAE3402_FY23_SF	ASAE 3402 - FY23 Tax Statem	Macquarie CMA	BSB not valid				
TS070219	Automated Tax Statement 07-	Macquarie Bank Account - 12:	BSB not valid				
ECPI	ECPI Super Fund	Bank Account	BSB not valid				
Foreign	Foreign Super Fund	AUD Bank Account	BSB not valid				
FY23_WRAPTS_SF	FY23 Wrap Tax Statement Sup	Macquarie CMA	BSB not valid				
JOE2023	Joe 2023	CBA Bank Account	BSB not valid				
KKBKBASIC	KKBK Super Fund	Ezy Mortgage	BSB not valid				
KKBKBASIC	KKBK Super Fund	Cash at Bank	BSB not valid				

Data Feeds Console via Business > Feeds Console > Waiting



Data Feeds Console

via Business > Feeds Console > Error

Business Feeds Transactions Members Investments Periodic Processing Reports Settings

Class Webinars / Feeds Console

Funds Contacts Members Feeds Corporate Actions Tax Lodgments Signature Tracking Rollovers & Release Authorities

Search | Product: Type to search... | Tags

Brand: All Brands in Class Webinars

Export | Refresh | Upload Authority Forms | Review Uploaded Authority Forms | All (129) | Setup (91) | Waiting (3) | No Feed (13) | Manual (16) | Working (1) | Error (5)

1 / 1 | 25 per page, 5 items

Code	Fund	Description	Status	Status for	Product	First Processed	Last Processed
KKBKBASIC	KKBK Super Fund	Commsec - 2355253	Feed no longer active		Commsec	10 Feb 2012	27 Mar 2015
DEMO_SMSF_2020	The Adam Superannuation Fui	Demo Broking Account - 1808	11 Unbalanced	997 days	Demo Broking Account	29 Jun 2022	29 Jun 2022
AGNI_DEMO_SMSF_	Smith Family SMSF	Demo Broking Account - 1808	3 Unbalanced	646 days	Demo Broking Account	15 Jun 2023	15 Jun 2023
DEMO_SMSF_2020	The Adam Superannuation Fui	Macquarie CMA - 010-999/18(	1 Unbalanced	997 days	Demo Banking Account	29 Jun 2022	29 Jun 2022
Unity_SMSF_2020	The Unity Superannuation Fun	Macquarie CMA - 010-999/18(	1 Unbalanced	1255 days	Demo Banking Account	14 Oct 2021	14 Oct 2021

Class Ignite 2025

24-25 September, Sofitel Sydney Wentworth

Register Your Interest

https://www.class.com.au/class-ignite-2025/#register

What you can expect at Class Ignite 2025

Inspiration

Attend keynote sessions on leadership, digital innovation and the latest on SMSF trends and insights with the 2025 Class Annual Benchmark Report launch.

Insights

Discover key trends affecting SMSFs and strategies for adapting to industry change from leading experts.

Connection

Meet with fellow SMSF industry professionals, technical experts and thought leaders across our panel sessions, workshops and Networking Event with drinks and canapes.

Education

Learn and earn with CPD hours from SMSF Association accredited sessions available.

"The whole event has been fantastic really. It's a great opportunity to talk to like-minded people from around the country and get connected with individuals who are experts in the field."

Corey Bavin, Byfields Business Advisers

Thank you!

Simplify. Automate. Connect.